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FORM**

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Total Number of Pages in This Submission

Application Number 10/811,602

Filing Date March 29, 2004

First Named Inventor Brian N. Tufte

Art Unit unknown

Examiner Name unknown

Attorney Docket Number 1076.1104101

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
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<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<b>Remarks</b> Form PTO 1449 19 non-US patent references Return Postcard	

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	Crompton Seager & Tufte		
Signature			
Printed name	Brian N. Tufte		
Date	October 13, 2004	Reg. No.	38,638

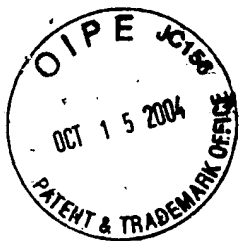
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Typed or printed name	Lynn Thompson	Date	October 13, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Brian N. Tufte

Examiner: unknown

Serial No.: 10/811,602

Group Art Unit: unknown

Filed: March 29, 2004

Confirmation No.: unknown

For: LIGHTING APPARATUS

Docket No.: 1076.1104101

**INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))**

Mail Stop Amendment  
Assistant Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this 13th day of October, 2004.

By: \_\_\_\_\_

Brian N. Tufte

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

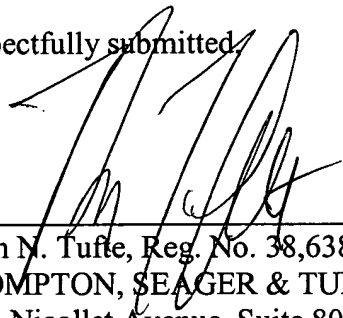
This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F. R. §1.98(a)(2), a copy of each non-US patent document or other information listed on the enclosed Form 1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,



Dated:

October 13, 2004

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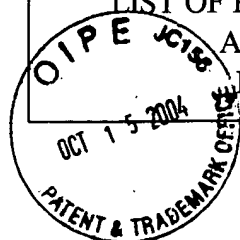
LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

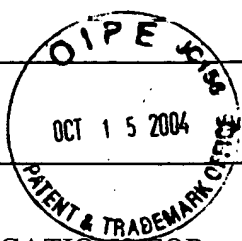
Applicant: Brian N. Tufte

Filing Date:  
March 29, 2004Group Art:  
unknown

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
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	2,277,433	03/1942	E.F. Guth			
	2,300,067	09/1941	Schwab			
	2,339,085	01/1944	Luckiesh, Matthew			
	2,561,756	07/1951	Shock			
	2,930,885	03/1960	Ehrenfreund, Herbert A.			
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	3,317,722	05/1967	F. L. Whitney			
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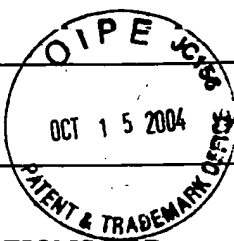


Atty. Docket No.:  
1076.1104101Serial No.:  
10/811,602LIST OF PATENTS AND PUBLICATIONS FOR  
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Applicant: Brian N. Tufte

Filing Date:  
March 29, 2004Group Art:  
unknown

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
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Atty. Docket No.: 1076.1104101	Serial No.: 10/811,602
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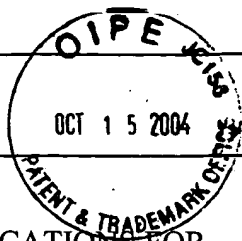
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Applicant: Brian N. Tufte	
Filing Date: March 29, 2004	Group Art: unknown

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
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	Flex Glo Wire, Inc., "Flex Glo Wire Specifications," October 15, 1998.
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	Lumenyte International Corporation, "Cove Lighting Applications," 1 page, copyright, March 19, 1998.



Page 4 of 4	FORM PTO-1449	Atty. Docket No.: 1076.1104101	Serial No.: 10/811,602
		Applicant: Brian N. Tufte	
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	Lumenyte International Corporation, "Sta-Flex LEF™ 310 Linear Emitting Fiber," 2 pages, copyright March 19, 1998.
	Lumenyte International Corporation, "Sta-Flex SFR™ 510 Linear Fiber," 2 pages, copyright March 19, 1998.
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	3M United States, <a href="http://www.3m.com/about3M/technologies/lightfiber">http://www.3m.com/about3M/technologies/lightfiber</a> , 30 pages, downloaded February 11, 2002.
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	U.S. Patent Application No. 10/147,097, filed May 13, 2002, entitled, "Lighting Apparatus."

EXAMINER:	DATE CONSIDERED:
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.